

PATENT  
Docket No. 5100-000016/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Hendrik Johan Ferdinand BERENDS  
Int'l Application No.: PCT/NL2003/000752  
Application No.: **NEW APPLICATION**  
Filed: May 12, 2005  
For: FILTER MODULE

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**INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION CONCURRENT WITH THE  
FILING OF A NEW PATENT APPLICATION)**

Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
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May 12, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- ☐ Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☒ This application is a National Phase of a PCT application. All of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

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- ☐ Because the present application is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☒ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

Many of the documents have been discussed in the PCT Search Report and/or throughout the specification. The PCT Search Report indicate the degree of relevance found by the PCT Office, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

c. ☒ **ENGLISH LANGUAGE SEARCH REPORT**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☒ **OTHER**

The following additional information is provided for the Examiner's consideration.

International Search Report

JC06 Rec'd PCT/PTO 12 MAY 2005

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FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 08-0750.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By: 

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Enclosures: ☒ Form PTO-1449(s)  
☒ Foreign Search Report  
☒ Documents

Form PTO-1449	ATTY DOCKET NO. 5100-000016/US	APPLICATION NO. NEW APPLICATION 107554724
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)	APPLICANT(S) Hendrik Johan Ferdinand BERENDS	CONF. NO. Unknown
	FILING DATE May 12, 2005	GROUP Unknown

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	US 6,183,639 B1	02/06/2001	DE WINTER			
	4,038,190	07/26/1977	BAUDET et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	WO 01/32299 A1	05/10/2001	WIPO			Yes	
	JP 07-148421	06/13/1995	JAPAN			Abst.	
	JP 07-124445	05/16/1995	JAPAN			Abst.	
	JP 58-207904	12/03/1983	JAPAN			Abst.	
	JP 62-1409	01/07/1987	JAPAN			Abst.	
	JP 09-99222	04/15/1997	JAPAN			Abst.	
	EP 0 331 067	09/06/1989	EUROPE				

**OTHER DOCUMENTS**

(Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume/issue number(s), publisher, city and/or country where published.)

	International Search Report

EXAMINER /Krishnan Menon/ (07/01/2009)	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

DJD/smk